PTO/SB/08a (06-03)

**PARCR 66327** 

Approved for use through 07/31/2003 OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Attorney Docket Number

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it contains a valid OMB control number Complete if Known Substitute for form 1449A/PTC MAY 0 10/754,174 Application Number January 9, 2004 Filing Date INFORMATION DISCLOS Lilip Lau First Named Inventor STATEMENT BY SER 3735 Art Unit John P. Lacyk Examiner Name (use as many sheets as necessary)

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Documen Number	t Number  Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,290,217		03/01/1994	Campos	
		6,402,679		06/11/2002	Mortier et al.	
		6432,039		08/13/2002	Wardle	
		6,689,048		02/1020/04	Vanden Hoek et al.	
		6,695,769		02/2420/04	French et al.	
		6,701,929		03/0920/04	Hussein	
		6,702,732		03/0920/04	Lau et al.	
		6,730,016		05/0420/04	Cox et al.	
		6,755,779		06/2920/04	Vanden Hoek et al.	
		2004/0122069		07/08/2004	Shapland et al.	
		2004/0171907		09/02/2004	Alferness et al.	
		2004/0171908		09/02/2004	Alferness et al.	
				_		
-						

				FOREIGN	PATENT DOCU	MENTS		
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines	T6
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1
		PCT	WO 96/40356		12/19/1996	EP Technologies, Inc.		
		PCT	WO 01/91667		12/06/2001	Cardioclasp, Inc.		
· · ·								
				ļ				_
		<del> </del>	-					_
							-	

Examiner	/John Lacvk/	Date	05/27/2008
Signature	730III LacyN	Considered	

## 228082.1

Sheet

of 2

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO				Complete if Known		
THOUSE WHO IN DISCUSSIONE				Application Number	10/754,174	
	NFORMATION			Filing Date	January 9, 2004	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Lilip Lau	
(use as many sheets as necessary)				Group Art Unit	3735	
				Examiner Name	John P. Lacyk	
Sheet	2	of	2	Attorney Docket Number	PARCR 66327	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No <sup>1</sup> .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		Wharton, J. Marcus, et al., Electrophysiological Effects of Monophasic and Biphasic Stimuli in Normal and Infarcted Dogs, PACE, Vol. 13, pp. 1158-1172, September 1990	
		Shabetai, Ralph, <i>The Role of the Pericardium in the Pathophysiology of Heart Failure</i> , Congestive Heart Failure, Second Edition, Chapter 9, pp. 157-187, 2000	
		Cohn, Jay N., M.D., <i>The Management of Chronic Heart Failure</i> , The New England Journal of Medicine, Vol. 335, No. 7, pp. 490-498, August 15, 1996	

/John Lacyk/

05/27/2008

## 228082.1

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.